TITLE 65 STATE LOTTERY COMMISSION

LSA Document #02-98(E)

DIGEST

Temporarily adds rules concerning instant game number 593. Effective April 1, 2002.

SECTION 1. The name of this instant game is "Instant Game Number 593, High Roller".

SECTION 2. Instant tickets in instant game number 593 shall sell for two dollars (\$2) per ticket.

SECTION 3. (a) Each instant ticket in instant game number 593 shall contain eighteen (18) play symbols and play symbol captions in the game play data area all concealed under a large spot of latex material. Two (2) play symbols and play symbol captions shall appear in the area labeled "WINNING ROLLS". Sixteen (16) play symbols and play symbol captions shall appear in the area labeled "YOUR ROLLS" and be arranged in pairs representing numbers or pictures and prize amounts.

(b) The play symbols and play symbol captions in instant game number 593, other than those representing prize amounts, shall consist of the following possible play symbols and play symbol captions:

(1) 2TWO (2) 3**THR** (3) 4**FOR** (4) 5**FIV** (5)6SIX **(6)** 7 **SVN** (7) 8**EGT** (8)9**NIN** (9) 10TEN

(10) 11

(11) 12 TWL (12) \$ WIN (13) ☆ WINX10

ELV

(c) The play symbols and play symbol captions representing prize amounts in instant game number 593 shall consist of the following possible play symbols and play symbol captions:

(1) \$2.00 TWO

(2) \$3.00

THREE

(3) \$4.00

FOUR

(4) \$5.00

FIVE

(5) \$10.00 TEN

(6) \$15.00

FIFTEEN

(7) \$20.00

TWENTY

(8) \$50.00

FIFTY

(9) \$100

ONE HUN

(10) \$200

TWO HUN

(11) \$500

FIVE HUN

(12) \$1,000

ONE THOU

(13) \$10,000

TEN THOU

SECTION 4. The holder of a valid instant ticket in instant game number 593 shall remove the latex material covering the eighteen (18) play symbols and play symbol captions. If one (1) or more of the play symbols and play symbol captions exposed in the "YOUR ROLLS" area match either of the play symbols and play symbol captions exposed in the "WINNING ROLLS" area, the holder is entitled to the prize amount paired with the matched number. If the play symbol "\$" with the play symbol caption "WIN" is paired with a play symbol and play symbol caption in the "YOUR ROLLS" area, the holder is automatically entitled to the paired prize amount. If the play symbol "♣" with the play symbol caption "WINX10" is paired with a play symbol and play symbol caption in the "YOUR ROLLS" area, the holder is automatically entitled to ten (10) times the paired prize amount. The matched prize play symbols, prize amounts, and number of winners in instant game number 593 are as follows:

	Total	Approximate
Number of Matches and	Prize	Number of
Winning Play Symbols	Amount	Winners
1 - \$2.00	\$2	360,000
1 – \$4.00	\$4	216,000

1 - \$2.00 + 1 - \$3.00	\$5	54,000
1 - \$5.00	\$5	54,000
5 - \$2.00	\$10	18,000
2 - \$5.00	\$10	18,000
1 - \$10.00	\$10	18,000
5 - \$3.00	\$15	9,000
1 - \$15.00	\$15	9,000
1 - \$2.00 + 2	\$20	18,000
6 - \$2.00 + 2 - \$4.00	\$20	18,000
4 - \$5.00	\$20	18,000
1 - \$20.00	\$20	18,000
6 - \$5.00 + 2 - \$10.00	\$50	450
1 - \$5.00 + 2	\$50	450
1 - \$50.00	\$50	450
1 - \$10.00 + 2	\$100	240
6 - \$10.00 + 2 - \$20.00	\$100	240
2 - \$50.00	\$100	240
1 - \$100	\$100	240
1 - \$50.00 + 2	\$500	10
5 – \$100	\$500	10
1 - \$500	\$500	10
1 - \$100 + 2	\$1,000	10
6 - \$100 + 2 - \$200	\$1,000	10
1 - \$1,000	\$1,000	10
1 - \$10,000	\$10,000	5

SECTION 5. (a) There shall be approximately three million six hundred thousand (3,600,000) instant tickets initially available in instant game number 593.

- (b) The odds of winning a prize in instant game number 593 are approximately 1 in 4.34.
- (c) All reorders of tickets for instant game number 593 shall have the same:
 - (1) prize structure;
 - (2) number of prizes per prize pool of one hundred twenty thousand (120,000); and
 - (3) odds;

as contained in the initial order.

SECTION 6. The last day to claim a prize in instant game number 593 is June 30, 2003.

SECTION 7. SECTIONS 1 through 6 of this document expire July 31, 2003.

LSA Document #02-98(E)

Filed with Secretary of State: April 1, 2002, 2:15 p.m.

TITLE 65 STATE LOTTERY COMMISSION

LSA Document #02-99(E)

DIGEST

Temporarily adds rules concerning instant game number 594. Effective April 1, 2002.

SECTION 1. The name of this instant game is "Instant Game Number 594, Fantasy 4s".

SECTION 2. Instant tickets in instant game number 594 shall sell for one dollar (\$1) per ticket.

SECTION 3. (a) Each instant ticket in instant game number 594 shall contain ten (10) play symbols and play symbol captions all concealed under a large spot of latex material. Nine (9) play symbols and play symbol captions shall appear in a matrix of three (3) rows and three (3) columns. One (1) play symbol and play symbol caption shall appear in a box labeled "PRIZE BOX".

(b) The play symbols and play symbol captions, other than those representing prize amounts, shall consist of the following possible play symbols and play symbol captions:

- (1) 1
- ONE
- **(2) 2**
- TWO
- (3) 3 THR
- **(4) 4**
- **FOR**
- (5) 5
- **FIVE**
- (6) 6
- SIX
- (7) 7
- SVN
- **(8) 8**
- EGT (9) 9
- NIN

(c) The play symbols and play symbol captions representing prize amounts shall consist of the following possible play symbols and play symbol captions:

- (1) \$1.00
 - ONE
- (2) \$2.00
 - **TWO**
- (3) \$3.00
- THREE
- (4) \$5.00
 - **FIVE**

(5) \$10.00 TEN

(6) \$15.00 ELECTRICAL

FIFTEEN

(7) \$20.00 TWENTY

(8) \$50.00 FIFTY

(9) \$100

ONE HUN

(10) \$500

FIVE HUN

(11) \$1,000

ONE THOU

(12) \$5,000

FIVE THOU

SECTION 4. The holder of a valid instant ticket in instant game number 594 shall remove the latex material covering the ten (10) play symbols and play symbol captions. If three (3) play symbols and play symbol captions representing the number "4" are exposed in a row, column, or diagonal, the holder is entitled to the prize in the "PRIZE BOX". The prize amounts and number of winners in instant game number 594 are as follows:

Winning Play	Prize	Approximate Number
Prize Symbol	Amount	of Winners
\$1.00	\$1	492,000
\$2.00	\$2	96,000
\$3.00	\$3	84,000
\$5.00	\$5	48,000
\$10.00	\$10	12,000
\$15.00	\$15	12,000
\$20.00	\$20	12,000
\$50.00	\$50	3,750
\$100	\$100	1,125
\$500	\$500	75
\$1,000	\$1,000	30
\$5,000	\$5,000	8

SECTION 5. (a) There shall be approximately three million six hundred thousand (3,600,000) instant tickets initially available in instant game number 594.

- (b) The odds of winning a prize in instant game number 594 are approximately 1 in 4.73.
- (c) All reorders of tickets for instant game number 594 shall have the same:
 - (1) prize structure;
 - (2) number of prizes per prize pool of two hundred forty thousand (240,000); and
 - (3) odds;

as contained in the initial order.

SECTION 6. The last day to claim a prize in instant game number 594 is June 30, 2003.

SECTION 7. SECTIONS 1 through 6 of this document expire July 28, 2003.

LSA Document #02-99(E)

Filed with Secretary of State: April 1, 2002, 2:18 p.m.

TITLE 65 STATE LOTTERY COMMISSION

LSA Document #02-100(E)

DIGEST

Temporarily adds rules concerning instant game number 598. Effective April 1, 2002.

SECTION 1. The name of this instant game is "Instant Game Number 598, \$50,000 Poker Showdown".

SECTION 2. Instant tickets in instant game number 598 shall sell for five dollars (\$5) per ticket.

SECTION 3. (a) Each instant ticket in instant game number 598 shall contain thirty-five (35) play symbols in the game play data area all concealed under a large spot of latex material. The play symbols shall represent playing cards and be arranged in seven (7) rows of five (5) play symbols each. The rows shall be labeled "HAND 1", "HAND 2", "HAND 3", "HAND 4", "HAND 5", "HAND 6", and "HAND 7", respectively.

(b) The play symbols and play symbol captions appearing in instant game number 598 shall consist of the following possible play symbols and play symbol captions:

- (1) 2
- (2) 3
- (3) 4
- (4) 5 •
- (5) 6 •

- (6) 7 **•**
- (7) 8 **4**
- (8) 9 **4**
- (9) 10 •
- (10) J
- (11) Q
- (12) K
- (13) A
- (14) 2 •
- (15) 3
- (16) 4 **4**
- (17) 5 **♣**
- (18) 6 •
- (19) 7 •
- (20) 8
- (21) 9 •

- (22) 10 •
- (23) J
- (24) Q
- (25) K ♣
- (26) A
- (27) 2 •
- (28) 3 •
- (29) 4 •
- (30) 5 •
- (31) 6 •
- (32) 7 ♦
- (33) 8
- (34) 9
- (35) 10 •
- (36) J
- (37) Q































SECTION 4. (a) The holder of a valid instant ticket in instant game number 598 shall remove the latex material covering the thirty-five (35) play symbols.

(b) If the combination of play symbols in one (1) or more

hands meets any of the following requirements, the holder is entitled to the associated prize amount identified on the front and back of the instant ticket:

- (1) 1 Pair Two (2) play symbols of an identical value are exposed. (Prize five dollars (\$5))
- (2) 2 Pair Two (2) sets of play symbols are exposed with each set consisting of two (2) play symbols of an identical value. (Prize ten dollars (\$10))
- (3) 3 of a Kind Three (3) play symbols of an identical value are exposed. (Prize twenty dollars (\$20))
- (4) Straight Five (5) play symbols with consecutively increasing values in any suit are exposed. (Prize twenty-five dollars (\$25))
- (5) Flush Five (5) play symbols of the same suit are exposed. (Prize fifty dollars (\$50))
- (6) Full House Three (3) play symbols of an identical value and two (2) play symbols of a different identical value are exposed. (Prize one hundred dollars (\$100))
- (7) 4 of a Kind Four (4) play symbols of an identical value are exposed. (Prize five hundred dollars (\$500))
- (8) Straight Flush Five (5) play symbols of consecutively increasing values in the same suit are exposed. (Prize one thousand dollars (\$1,000))
- (9) Royal Flush Five (5) play symbols representing the 10, Jack, Queen, King, and Ace of the same suit are exposed. (Prize fifty thousand dollars (\$50,000))
- (c) Play symbols have the value designated on the face of the play symbols except that those representing jacks, queens, kings, and aces, respectively, shall be treated as having consecutively increasing values. A holder may win up to seven (7) times on a ticket but may only win one (1) prize in a row.

SECTION 5. The play symbols, prize amounts, and number of winners in instant game number 598 are as follows:

	Approximate
Prize	Number of
Amount	Winners
\$5	840,000
\$10	120,000
\$15	75,000
\$20	30,000
\$20	30,000
\$25	15,000
\$35	5,000
\$35	5,000
\$50	4,750
\$50	4,750
\$100	1,250
	Amount \$5 \$10 \$15 \$20 \$20 \$25 \$35 \$35 \$50 \$100 \$100 \$100

2 - Flush + 4 - Full House	\$500	250
5 – Full House	\$500	250
1 – 4 of a Kind	\$500	250
1 – Straight Flush	\$1,000	25
4 – 4 of a Kind + 3 –	\$5,000	10
Straight Flush		
7 – Straight Flush	\$7,000	8
1 – Royal Flush	\$50,000	7

SECTION 6. (a) There shall be approximately three million (3,000,000) instant tickets initially available in instant game number 598.

- (b) The odds of winning a prize in instant game number 598 are approximately 1 in 2.64.
- (c) All reorders of tickets for instant game number 598 shall have the same:
 - (1) prize structure;
- (2) number of prizes per prize pool of one hundred twenty thousand (120,000); and
 - (3) odds;

as contained in the initial order.

SECTION 7. The last day to claim a prize in instant game number 598 is May 31, 2003.

SECTION 8. SECTIONS 1 through 7 of this document expire on June 30, 2003.

LSA Document #02-100(E)

Filed with Secretary of State: April 1, 2002, 2:20 p.m.

TITLE 65 STATE LOTTERY COMMISSION

LSA Document #02-101(E)

DIGEST

Temporarily adds rules concerning instant game number 600. Effective April 1, 2002.

SECTION 1. The name of this instant game is "Instant Game Number 600, Cash Roulette".

SECTION 2. Instant tickets in instant game number 600 shall sell for two dollars (\$2) per ticket.

SECTION 3. (a) Each instant ticket in instant game number 600 shall contain twenty-two (22) play symbols and play symbol captions in the game play data area all concealed under a large spot of latex material. Two (2) play symbols and play symbol captions shall appear in the area labeled "WHEEL NUMBERS". Twenty (20) play symbols

and play symbol captions shall appear in the area labeled "YOUR NUMBERS" and be arranged in pairs representing numbers or a picture of a star and prize amounts.

(b) The play symbols and play symbol captions in instant game number 600, other than those representing prize amounts, shall consist of the following possible play symbols and play symbol captions:

(1) 1

ONE

(2) 2

TWO

(3) 3

THR

(4) 4

FOR

(5) 5

FIV

(6) 6

SIX

(7) 7

SVN

(8) 8

EGT

(9) 9

NIN

 $(10)\ 10$

TEN (11) 11

ELV

(12) 12

TLV

(13) 13 TRN

(14) 14

FRN

(15) 15

FTN

(16) 16

SXT

(17) 17

SVT (18) 18

ETN

(19) 19

NTN

(20) 20

TWY

(21) A picture of a star WIN

(c) The play symbols and play symbol captions representing prize amounts in instant game number 600 shall consist of the following possible play symbols and play symbol captions:

(1) \$2.00		
TWO		
(2) \$3.00		
THREE		
(3) \$4.00		
FOUR		
(4) \$5.00		
FIVE		
(5) \$10.00		
TEN		
(6) \$15.00		
FIFTEEN		
(7) \$20.00		
TWENTY		
(8) \$50.00		
FIFTY		
(9) \$100		
ONE HUN		
(10) \$1,000		

ONE THOU

TWLV THOU

(11) \$12,000

SECTION 4. The holder of a valid instant ticket in instant game number 600 shall remove the latex material covering the twenty-two (22) play symbols and play symbol captions. If one (1) or more of "YOUR NUMBERS" match either of the "WHEEL NUMBERS", the holder is entitled to the prize amount paired with the matched number. If a matching "YOUR NUMBERS" play symbol and play symbol caption are red, the holder is entitled to double the paired prize amount. If the play symbol of a picture of a star with the play symbol caption "WIN" is paired with a play symbol in the "YOUR NUMBERS" area, the holder is automatically entitled to the paired prize amount. The matched prize play symbols, prize amounts, and number of winners in instant game number 600 are as follows:

Number of Matches and	Total	Approximate
Matched, Star, and Red	Prize	Number of
Play Symbols	Amount	Winners
1 - \$2.00	\$2	126,000
1 – \$2.00 (red)	\$4	198,000
1 - \$4.00	\$4	198,000
1 - \$2.00 + 1 - \$3.00	\$5	54,000
1 - \$5.00	\$5	54,000
5 – \$2.00	\$10	36,000
1 – \$5.00 (red)	\$10	18,000
1 - \$10.00	\$10	18,000
1 - \$5.00 (red) + 1 - \$5.00	\$15	18,000
1 - \$15.00	\$15	18,000
10 - \$2.00	\$20	18,000
1 - \$5.00 (red) + 2 - \$5.00	\$20	9,000
1 - \$20.00	\$20	9,000

10 – \$5.00	\$50	600
1 - \$10.00 + 1 - \$20.00 (red)	\$50	600
1 - \$50.00	\$50	600
10 - \$10.00	\$100	450
1 - \$100	\$100	450
1 - \$100 (red) + 3 - \$100	\$500	20
10 – \$100	\$1,000	12
1 – \$1,000	\$1,000	12
1 – \$12,000	\$12,000	9

Emergency Rules

SECTION 5. (a) There shall be approximately three million six hundred thousand (3,600,000) instant tickets initially available in instant game number 600.

- (b) The odds of winning a prize in instant game number 600 are approximately 1 in 4.63.
- (c) All reorders of tickets for instant game number 600 shall have the same:
 - (1) prize structure;
 - (2) number of prizes per prize pool of one hundred twenty thousand (120,000); and
 - (3) odds;

as contained in the initial order.

SECTION 6. The last day to claim a prize in instant game number 600 is May 31, 2003.

SECTION 7. **SECTIONS 1** through 6 of this document expire June 30, 2003.

LSA Document #02-101(E)

Filed with Secretary of State: April 1, 2002, 2:21 p.m.

TITLE 65 STATE LOTTERY COMMISSION

LSA Document #02-102(E)

DIGEST

Adds 65 IAC 4-450 concerning instant game number 787. Effective April 1, 2002.

65 IAC 4-450

SECTION 1. 65 IAC 4-450 IS ADDED TO READ AS FOLLOWS:

Rule 450. Instant Game 787

65 IAC 4-450-1 Name

Authority: IC 4-30-3-7; IC 4-30-3-9

Affected: IC 4-30

Sec. 1. The name of this instant game is "Instant Game

Number 787, Hoosier Millionaire". (State Lottery Commission; 65 IAC 4-450-1; emergency rule filed Apr 1, 2002, 2:22 p.m.: 25 IR 2531)

65 IAC 4-450-2 Ticket price

Authority: IC 4-30-3-7; IC 4-30-3-9

Affected: IC 4-30

Sec. 2. Instant tickets in instant game number 787 shall **sell for one dollar (\$1) per ticket.** (State Lottery Commission; 65 IAC 4-450-2; emergency rule filed Apr 1, 2002, 2:22 p.m.: 25 IR 2532)

65 IAC 4-450-3 Instant ticket layout

Authority: IC 4-30-3-7; IC 4-30-3-9

Affected: IC 4-30

Sec. 3. Each instant ticket in instant game number 787 shall contain ten (10) play symbols and play symbol captions in the game play data area all concealed under a spot of latex material. One (1) play symbol and play symbol caption representing a number shall appear in the box labeled "YOUR NUMBER". Eight (8) play symbols and play symbol captions shall appear in the box labeled "YOUR GAME NUMBERS" and be arranged in pairs representing numbered coins and prize amounts. One (1) play symbol and play symbol caption shall appear in the box labeled "BONUS". (State Lottery Commission; 65 IAC 4-450-3; emergency rule filed Apr 1, 2002, 2:22 p.m.: 25 IR 2532)

65 IAC 4-450-4 Play symbols and play symbol captions

Authority: IC 4-30-3-7; IC 4-30-3-9

Affected: IC 4-30

Sec. 4. (a) The play symbols and play symbol captions representing prize amounts in instant game number 787 shall consist of the following possible play symbols and play symbol captions:

(1) ENTRY

ENTRY

(2) \$1.00

ONE

(3) \$2.00

TWO

(4) \$3.00

THREE

(5) \$4.00

FOUR

(6) \$5.00

FIVE

(7) \$10.00

TEN

(8) \$500

FIVE HUN

(b) The play symbols and play symbol captions, other

than those representing prize amounts or appearing in the "BONUS" box, shall consist of the following possible play symbols and play symbol captions:

(1) 1

ONE

(2) 2**TWO**

(3) 3

THREE

(4) 4

FOUR

(5) 5

FIVE

(6) 6

SIX

(7) 7

SEVEN

(8) 8

EIGHT

(9)9

NINE

 $(10)\ 10$

TEN

(11) 11**ELEVEN**

(12) 12

TWELVE

(13) 13

THIRTN

(14) 14

FOURTN

(15) 15

FIFTN

(16) 16SIXTN

(17) 17

SVNTN

(18) 18

EGHTN

(19) 19

NINTN

 $(20)\ 20$

TWENTY

(21) 21

TWYONE

(22) 22

TWYTWO

(23) 23

TWYTHR (24) 24

TWYFOR

(25) 25

TWYFIV

(26)26

TWYSIX

(27) 27	
TWYSVN	
(28) 28	
TWYEGT	
(28) 29	
TWYNIN	
(30) 30	
THIRTY	

(c) The play symbols and play symbol captions appearing in the "BONUS" box shall consist of the following possible play symbols and play symbol captions:

(1) YOU ARE ONE [sic., ON] THE SHOW SEE TICKET BACK FOR DETAILS (2) STOPPER-TRY AGAIN SEE TICKET BACK FOR DETAILS

(State Lottery Commission; 65 IAC 4-450-4; emergency rule filed Apr 1, 2002, 2:22 p.m.: 25 IR 2532)

65 IAC 4-450-5 How to play

Authority: IC 4-30-3-7; IC 4-30-3-9

Affected: IC 4-30

Sec. 5. The holder of a valid instant ticket in instant game number 787 shall remove the latex material covering the ten (10) play symbols and play symbol captions. If one (1) or more of the play symbols and play symbol captions exposed in the "YOUR GAME NUMBERS" box match the play symbol and play symbol caption exposed in the "YOUR NUMBER" box, the holder is entitled to the paired prize. If "YOU ARE ON THE SHOW" is exposed in the "BONUS" box, the holder is automatically entitled to be a contestant on a future installment of the Hoosier Millionaire® Game Show. (State Lottery Commission; 65 IAC 4-450-5; emergency rule filed Apr 1, 2002, 2:22 p.m.: 25 IR 2533)

65 IAC 4-450-6 Prizes

Authority: IC 4-30-3-7; IC 4-30-3-9

Affected: IC 4-30

Sec. 6. The prize amounts and number of winners in instant game number 787 are as follows:

Winning Play Symbols	Prize Amount	Approximate Number of Winners		
ENTRY	Entry into drawing to be a contestant on the Hoosier Millionaire®	153,600		
Game Show				
1 - \$1.00	\$1	537,600		
2 - \$1.00	\$2	384,000		
1 - \$2.00	\$2	153,600		
3 - \$1.00	\$3	25,600		
1 - \$1.00 + 1 - \$2.00	\$3	25,600		

	ergency Kules	
	organe, runes	
1 - \$3.00	\$3	25,600
4 - \$1.00	\$4	51,200
3 - \$1.00 + 1 -	\$5	25,600
\$2.00		
1 - \$1.00 + 2 -	\$5	25,600
\$2.00		
1 - \$1.00 + 1 -	\$5	25,600
\$4.00		
1 - \$5.00	\$5	76,800
3 - \$2.00 + 1 -	\$10	26,600
\$4.00		
1 - \$2.00 + 2 -	\$10	12,800
\$4.00		
2 - \$5.00	\$10	12,800
2 - \$5.00 + 1 -	\$20	25,600
\$10.00		
1 - \$500	\$500	8
YOU ARE ON Automatic contes- 16		
THE SHOW	tant on a future	
Hoosier		
Millionaire®		
Game Show		

Emergency Rules

(State Lottery Commission; 65 IAC 4-450-6; emergency rule filed Apr 1, 2002, 2:22 p.m.: 25 IR 2533)

65 IAC 4-450-7 Number of tickets; odds; reorders

Authority: IC 4-30-3-7; IC 4-30-3-9

Affected: IC 4-30

Sec. 7. (a) There shall be approximately seven million six hundred thousand (7,600,000) instant tickets initially available in instant game number 787.

- (b) The odds of winning a prize in instant game number 787 are approximately 1 in 4.84.
- (c) All reorders of tickets for instant game number 787 shall have the same:
 - (1) prize structure;
 - (2) number of prizes per prize pool of two hundred forty thousand (240,000); and
 - (3) odds;

as contained in the initial order. (State Lottery Commission; 65 IAC 4-450-7; emergency rule filed Apr 1, 2002, 2:22 p.m.: 25 IR 2533)

65 IAC 4-450-8 Last day to claim prizes

Authority: IC 4-30-3-7; IC 4-30-3-9

Affected: IC 4-30

Sec. 8. Players will have up to sixty (60) days from the end of instant game 787 within which to claim their prizes. End of game and last day to claim dates are available from any retailer who sells lottery tickets, through the commission's customer service number, 1-800-955-6886, and on its Web

site, www.hoosierlottery.com. Any prizes not claimed by that date are forfeited. (State Lottery Commission; 65 IAC 4-450-8; emergency rule filed Apr 1, 2002, 2:22 p.m.: 25 IR 2533)

LSA Document #02-102(E) Filed with Secretary of State: April 1, 2002, 2:22 p.m.

TITLE 71 INDIANA HORSE RACING COMMISSION

LSA Document #02-96(E)

DIGEST

Amends 71 IAC 3-2-9 concerning a judge's list. Amends 71 IAC 3-10-1 concerning general authority for a patrol judge. Amends 71 IAC 5-3-3 concerning other responsibilities for licensees. Amends 71 IAC 6-1-2 concerning prohibitions on claims in claiming races. Amends 71 IAC 7-1-26 concerning notice in writing for entries and scratches. Amends 71 IAC 7-1-28 concerning qualifying races for entries and scratches. Amends 71 IAC 7-3-9 concerning rules of the race for listing or replacing a driver. Amends 71 IAC 7-3-13 concerning rules of the race for whip restriction. Amends 71 IAC 7-3-16 concerning rules of the race for breaking. Amends 71 IAC 7-3-25 concerning rules of the race for disorderly conduct. Amends 71 IAC 8-5-7 concerning prohibition of presence in paddock and barn area. Amends 71 IAC 8-11-3 concerning the prohibition of alcohol and penalties. Effective March 27, 2002.

71 IAC 3-2-9	71 IAC 7-3-9
71 IAC 3-10-1	71 IAC 7-3-13
71 IAC 5-3-3	71 IAC 7-3-16
71 IAC 6-1-2	71 IAC 7-3-25
71 IAC 7-1-26	71 IAC 8-5-7
71 IAC 7-1-28	71 IAC 8-11-3

SECTION 1. 71 IAC 3-2-9 IS AMENDED TO READ AS FOLLOWS:

71 IAC 3-2-9 Judge's list

Authority: IC 4-31-3-9 Affected: IC 4-31

Sec. 9. (a) The judges shall maintain a judge's list of the horses which are ineligible to be entered in a race because of poor or inconsistent performance or behavior on the race track that may endanger the health and safety of the participants and for the protection of the wagering public. The reasons for a horse to be placed on the judge's list and ordered to qualify shall include, but not be limited to, the following on a fast or good track:

- (1) Making a break in a qualifying race.
- (2) Making a break in a race following a qualifying race

- unless finishing 1st, 2nd, or 3rd. Two (2) year old nonwagering purse races for three hundred dollars (\$300) or less shall be considered a qualifying race.
- (3) Poor performance or failure to go in a qualifying time following a qualifying race.
- (4) Poor performance in a qualifying race regardless of going in qualifying time.
- (5) Failing to go in qualifying time in two (2) consecutive starts.
- (6) Making breaks in two (2) consecutive starts unless finishing 1st, 2nd, or 3rd in one (1) of the two (2).
- (7) Being scratched sick or lame in two (2) consecutive programmings or scratched sick or lame from a race following a qualifying race.
- (8) Scratched sick or lame, having failed to go in qualifying time in a previous or subsequent start to that scratch.
- (9) Scratched sick or lame in a race previous or subsequent to a break line.
- (8) (10) Numerous bad lines in its last six (6) starts regardless of being consecutive on finishing 1st, 2nd, or 3rd.
- (b) (1) A horse showing a satisfactory line in one (1) of its last two (2) starts or its last start at a pari-mutuel track prior to racing at a county fair track, the county fair lines will not be considered towards its eligibility to return to the pari-mutuel track. Notwithstanding the above satisfactory line, at the parimutuel track, must be within its last six (6) programmed lines but within thirty (30) days of the pari-mutuel start (race date to race date).
- (2) A horse having not raced at a pari-mutuel track must show a satisfactory charted line in one (1) of its last two (2) county fair starts within the time standards set.
- (c) The judges may place a horse on the judge's list when there exists a question as to the exact identification or ownership of a horse.
- (d) A horse may not be released from the judge's list without permission of the judges. (Indiana Horse Racing Commission; 71 IAC 3-2-9; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1129; emergency rule filed Apr 9, 1998, 1:18 p.m.: 21 IR 3377; emergency rule filed Feb 20, 2001, 10:08 a.m.: 24 IR 2097; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Mar 27, 2002, 10:25 a.m.: 25 IR 2534)

SECTION 2. 71 IAC 3-10-1 IS AMENDED TO READ AS FOLLOWS:

71 IAC 3-10-1 General authority

Authority: IC 4-31-3-9 Affected: IC 4-31

Sec. 1. The patrol judge when utilized, is responsible for observing the race and reporting information concerning the race to the judges. If the track's video replay system is deemed adequate by the commission, use of a patrol judge is optional.

(Indiana Horse Racing Commission; 71 IAC 3-10-1; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1132; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Mar 27, 2002, 10:25 a.m.: 25 IR 2534)

SECTION 3. 71 IAC 5-3-3 IS AMENDED TO READ AS FOLLOWS:

71 IAC 5-3-3 Other responsibilities

Authority: IC 4-31-3-9 Affected: IC 4-31

Sec. 3. (a) A trainer is responsible for the following:

- (1) The condition and contents of stalls, tack rooms, feed rooms, sleeping rooms, and other areas which have been assigned by the association.
- (2) Maintaining the assigned stable area in a clean, neat, and sanitary condition at all times.
- (3) Ensuring that fire prevention rules are strictly observed in the assigned stable area.
- (4) Providing a list to the commission of the trainer's employees on association grounds and any other area under the jurisdiction of the commission. The list shall include each employee's:
 - (A) name;
 - (B) occupation;
 - (C) Social Security number; and
 - (D) occupational license number.

The commission shall be notified by the trainer, in writing, within twenty-four (24) hours of any change.

- (5) The proper identity, custody, care, health, condition, and safety of horses in his or her charge.
- (6) Disclosure of the true and entire ownership of each horse in his or her care, custody, or control. Any change in ownership shall be reported immediately to, and approved by, the judges and recorded by the racing secretary.
- (7) Training all horses owned wholly or in part by him or her which are participating at the race meeting.
- (8) Registering with the racing secretary each horse in his or her charge within twenty-four (24) hours of the horse's arrival on association grounds.
- (9) Ensuring that, at the time of arrival at a licensed race track, each horse in his or her care is accompanied by a valid health certificate which shall be filed with the racing secretary.
- (10) Having each horse in his or her care that is racing, or is stabled on association grounds, tested for Equine Infectious Anemia (EIA) in accordance with state law and for filing evidence of such negative test results with the racing secretary.
- (11) Using the services of those veterinarians licensed by the commission to attend horses that are on association grounds.
- (12) Immediately reporting the alteration of the sex of a horse in his or her care to the horse identifier and the racing secretary, whose office shall note such alteration on the USTA electronic eligibility.
- (13) Promptly reporting to the racing secretary and the commission veterinarian any horse on which a posterior

digital neurectomy (heel nerving) has been performed and ensuring that such fact is designated on the USTA electronic eligibility.

- (14) Promptly reporting to the judges and the commission veterinarian the serious illness of any horse in his or her charge.
- (15) Promptly reporting the death of any horse in his or her care on association grounds to the judges and the commission veterinarian and compliance with 71 IAC 8 governing postmortem examinations.
- (16) Maintaining a knowledge of the medication record and status of all horses in his or her care.
- (17) Immediately reporting to the judges and the commission veterinarian if he or she knows, or has cause to believe, that a horse in his or her custody, care, or control has received any prohibited drugs or medication.
- (18) Representing an owner in making entries and scratches and in all other matters pertaining to racing.
- (19) Horses entered as to eligibility.
- (20) Ensuring the fitness of a horse to perform creditably.
- (21) Ensuring that his or her horses are properly shod, bandaged, and equipped.
- (22) Presenting his or her horse in the paddock at the appointed time before the race in which the horse is entered.
- (23) Personally attending to his or her horses in the paddock unless excused by the judges. or designate [sic., designating] a licensee to attend to the horse in the paddock.
- (24) Instructing the driver to give his or her best effort during a race and that each horse shall be driven to win.
- (25) Attending the collection of a urine or blood sample from the horse in his or her charge or delegating a licensed employee or the owner of the horse to do so.
- (26) Promptly notifying the owner of a horse of a positive test or blood gas analysis report performed on his or her horse indicating levels in violation of 71 IAC 8.
- (27) Notifying horse owners upon the revocation or suspension of his or her trainer's license.
- (28) Guard and protect all horses in his or her care.
- (29) Account for fees and services rendered on behalf of any horse in his or her care to the appropriate owner or owners.
- (30) Determine the training regimen of all horses in his or her care.
- (31) Reporting at time of entry if his or her horse will be racing with a nasal strip.
- (32) Ensuring that electronic eligibility are [sic., is] registered with the USTA prior to entry in a race or qualifying race.
- (b) Upon application by the owner, the judges may approve the transfer of such horses to the care of another licensed trainer, and upon such approved transfer, such horses may be entered to race.
- (c) No trainer shall assign any of his or her duties or responsibilities to any person that is disqualified or ineligible to participate in racing or is not appropriately licensed.

- (d) No trainer shall assume any of the above responsibilities for a horse not under his or her active care, custody, and supervision.
- (e) No trainer shall practice his profession except under his or her own name. (Indiana Horse Racing Commission; 71 IAC 5-3-3; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1146; emergency rule filed Jan 27, 1995, 3:30 p.m.: 18 IR 1498; emergency rule filed Jun 8, 1999, 9:31 a.m.: 22 IR 3125, eff May 26, 1999 [IC 4-22-2-37.1 establishes the effectiveness of an emergency rule upon filing with the secretary of state. LSA Document #99-108(E) was filed with the secretary of state June 8, 1999.]; emergency rule filed Mar 27, 2000, 8:20 a.m.: 23 IR 2005; emergency rule filed Feb 20, 2001, 10:08 a.m.: 24 IR 2100; errata filed Jun 21, 2001, 3:21 p.m.: 24 IR 3652; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Mar 27, 2002, 10:25 a.m.: 25 IR 2535)

SECTION 4. 71 IAC 6-1-2 IS AMENDED TO READ AS FOLLOWS:

71 IAC 6-1-2 Prohibitions on claims

Authority: IC 4-31-3-9 Affected: IC 4-31

Sec. 2. (a) A person shall not:

- (1) claim, directly or indirectly, his or her own horse or a horse trained or driven by him or her; or
- (2) cause such horse to be claimed directly or indirectly for his or her own account.
- (b) A person shall not directly or indirectly:
- (1) offer to claim or not to claim;
- (2) enter into an agreement to claim or not to claim; or
- (3) attempt to prevent another person from claiming; any horse in a claiming race.
- (c) A person shall not have more than one (1) claim on any one (1) horse in any claiming race. **However, owners utilizing the same trainer may claim different horses from the same race.**
- (d) A person shall not claim more than one (1) horse in a race. However, owners utilizing the same trainer may claim different horses from the same race.
- (e) A person shall not directly or indirectly conspire to protect a horse from being claimed by arranging another person to lodge claims, a procedure known as protection claims. (Indiana Horse Racing Commission; 71 IAC 6-1-2; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1149; emergency rule filed Feb 13, 1998, 10:00 a.m.: 21 IR 2400; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Mar 27, 2002, 10:25 a.m.: 25 IR 2536)

SECTION 5. 71 IAC 7-1-26 IS AMENDED TO READ AS FOLLOWS:

71 IAC 7-1-26 Notice in writing

Authority: IC 4-31-3-9 Affected: IC 4-31

Sec. 26. No horse shall be considered scratched from a race for lameness or sickness until a scratch slip, signed by a veterinarian, is presented to the judges and approved. **Veterinarians shall report medical scratches to the judges immediately after diagnosis.** (Indiana Horse Racing Commission; 71 IAC 7-1-26; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1155; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Mar 27, 2002, 10:25 a.m.: 25 IR 2536)

SECTION 6. 71 IAC 7-1-28 IS AMENDED TO READ AS FOLLOWS:

71 IAC 7-1-28 Qualifying races

Authority: IC 4-31-3-9 Affected: IC 4-31

Sec. 28. Declarations for qualifying races shall be governed by the following:

- (1) A horse that has not raced previously at the gait chosen must go a qualifying race under the supervision of the judges and acquire at least one (1) charted line within the qualifying standards of the track.
- (2) A horse that does not show a charted line within its last six (6) starts must go a qualifying race within the time standards of the track.
- (3) A horse that does not meet the time qualifying standards in one (1) of its last two (2) starts must qualify.
- (4) Horses racing with or without hopples for the first time must qualify.
- (5) When a horse is used for the sole purpose of qualifying a driver, that horse must go in qualifying time or be placed on the list to requalify, and the race must be charted.
- (6) If a horse takes a win record in a qualifying race, that record must be prefaced with the letter a "Q". The record will not be considered official unless the horse is post race tested (urine and blood).
- (7) The judges may permit free-for-all preferred or invitational class horses to go a timed workout consistent with the time it will race in competition in place of a qualifying race. (Indiana Horse Racing Commission; 71 IAC 7-1-28; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1155; emergency rule filed Feb 13, 1998, 10:00 a.m.: 21 IR 2406; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Mar 27, 2002, 10:25 a.m.: 25 IR 2536)

SECTION 7. 71 IAC 7-3-9 IS AMENDED TO READ AS FOLLOWS:

71 IAC 7-3-9 List or replace driver

Authority: IC 4-31-3-9 Affected: IC 4-31

- Sec. 9. (a) Every owner or trainer shall, if possible, upon making entry, furnish the name of the driver who will drive his or her horse, but, if this is not possible, the name must be furnished at a time designated by the judges.
- (b) If an owner or trainer does not name a driver for its entered horse by the time designated by the judges, the judges shall select and name a competent driver to drive that horse.
- (c) The judges may replace any driver with a driver of their selection for cause or on suspicion of fraudulent practices or attempts. Any such action shall be reported by the judges to the commission with reason therefore.
- (d) A driver must fulfill his obligation to drive when programmed unless excused by the judges. (Indiana Horse Racing Commission; 71 IAC 7-3-9; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1162; emergency rule filed Jun 8, 1999, 9:31 a.m.: 22 IR 3131, eff May 26, 1999 [IC 4-22-2-37.1 establishes the effectiveness of an emergency rule upon filing with the secretary of state. LSA Document #99-108(E) was filed with the secretary of state June 8, 1999.];readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Mar 27, 2002, 10:25 a.m.: 25 IR 2536)

SECTION 8. 71 IAC 7-3-13 IS AMENDED TO READ AS FOLLOWS:

71 IAC 7-3-13 Whip restriction

Authority: IC 4-31-3-9 Affected: IC 4-31

Sec. 13. (a) Drivers will be allowed whips not to exceed three (3) feet, nine (9) inches, plus a snapper not longer than six (6) inches.

- (b) The whip, including the snapper, may make contact only above and between the shafts.
- (c) Provided, further, that the following actions may be considered as excessive or indiscriminate use of the whip:
 - (1) Causing visible injury, including bleeding **and/or welts**.
 - (2) Whipping a horse after a race.
 - (3) Whipping a horse that is exhausted or not in contention.
 - (4) Whipping under the arch and shaft.
 - (5) Any other act that the judges deem inhumane.
 - (4) Allowing the whip hand to pass behind the shoulder.
 - (5) Excessive use of the whip.

(b) (d) Drivers shall keep a line in each hand from the start of the race until the top of the homestretch finishing the race. (Indiana Horse Racing Commission; 71 IAC 7-3-13; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1162; emergency rule filed Feb 13, 1998, 10:00 a.m.: 21 IR 2409; emergency rule filed Jun 8, 1999, 9:31 a.m.: 22 IR 3132, eff May 26, 1999 [IC 4-22-2-37.1] establishes the effectiveness of an emergency rule upon

filing with the secretary of state. LSA Document #99-108(E) was filed with the secretary of state June 8, 1999.]; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Mar 27, 2002, 10:25 a.m.: 25 IR 2537)

SECTION 9. 71 IAC 7-3-16 IS AMENDED TO READ AS FOLLOWS:

71 IAC 7-3-16 Breaking

Authority: IC 4-31-3-9 Affected: IC 4-31

Sec. 16. (a) When any horse or horses break from their gait in trotting or pacing, their driver shall at once, where clearance exists, take such horse either to the inside or outside and pull it to its gait.

- (b) The following shall be considered violations of subsection (a):
- (1) Failure to properly attempt to pull a horse to its gait.
- (2) Failure to take to the inside or outside where clearance exists.
- (3) Failure to lose ground by the break.
- (4) An extended break.
- (c) If there has been no failure on the part of the driver in complying with subsection (a), the horse shall not be set back unless a contending horse on its gait is lapped on the hind quarter of the breaking horse at the finish.
- (d) The judges may set any horse back one (1) or more places if in their judgment any violations as established in subsections subsection (b) or (c) have been committed.
- (e) Any horse making a break which causes interference with other contesting horses shall be placed behind all horses interfered with unless the judges determine that a driver of a trailing horse did not exercise reasonable alertness in avoiding the situation. (Indiana Horse Racing Commission; 71 IAC 7-3-16; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1163; emergency rule filed Feb 13, 1998, 10:00 a.m.: 21 IR 2410; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Mar 27, 2002, 10:25 a.m.: 25 IR 2537)

SECTION 10. 71 IAC 7-3-25 IS AMENDED TO READ AS FOLLOWS:

71 IAC 7-3-25 Disorderly conduct

Authority: IC 4-31-3-9 Affected: IC 4-31

Sec. 25. The following shall constitute disorderly conduct and be reason for any penalty of a driver or trainer as provided by these rules:

- (1) Failure to obey the judges' orders that are expressly authorized by these rules.
- (2) Failure to drive when programmed unless excused by the judges.
- (3) (2) Appearing in the paddock in an unfit condition to drive.
- (4) (3) Fighting.

(5) (4) Assaults.

(6) (5) Offensive and profane language.

(7) (6) Smoking on the track in silks during actual racing hours.

(8) (7) Warming up a horse prior to racing without silks.

(9) (8) Disturbing the peace.

(10) (9) Refusal or failure to take a breath test when directed to do so under to 71 IAC 8-11-12 [sic., 71 IAC 8-11-2].

(Indiana Horse Racing Commission; 71 IAC 7-3-25; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1164; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Mar 27, 2002, 10:25 a.m.: 25 IR 2537)

SECTION 11. 71 IAC 8-5-7 IS AMENDED TO READ AS FOLLOWS:

71 IAC 8-5-7 Prohibition of presence in paddock and barn

area

Authority: IC 4-31-3-9 Affected: IC 4-31-12

Sec. 7. The commission or, upon delegation, the executive director, may establish a time on race days by which all practicing veterinarians must be out of the paddock and barn area. The executive director or the judges may make policies for exceptions to this provision for emergencies, lasix salix administration, and other appropriate reasons. (Indiana Horse Racing Commission; 71 IAC 8-5-7; emergency rule filed Aug 10, 1994, 3:30 p.m.: 17 IR 2918; emergency rule filed Mar 25, 1996, 10:15 a.m.: 19 IR 2081; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Mar 27, 2002, 10:25 a.m.: 25 IR 2538)

SECTION 12. 71 IAC 8-11-3 IS AMENDED TO READ AS FOLLOWS:

71 IAC 8-11-3 Penalties

Authority: IC 4-31-3-9

Affected: IC 4-31-8-4; IC 4-31-13

- Sec. 3. (a) A person whose breath test shows a reading of more than five-hundredths of one percent (0.05%) by weight of alcohol in the person's breath or blood is subject to the following sanctions:
 - (1) A driver shall not be permitted to drive and shall be summarily suspended under the rules of the commission and subject to
 - (2) A judge, a starter, an assistant starter, or a driver of the starting gate shall be relieved of all duties for that program, and a report shall be made to the commission for appropriate action.
 (3) Any other licensee shall be suspended, beginning that day, under the rules of the commission.
 - (4) any other sanction available to the commission pursuant to the provisions of IC 4-31-13.
- (b) The judges may relieve a licensee of any duties for that day should that person show a reading between one-hundredths of one percent (0.01%) and five-hundredths of one percent (0.05%) by weight of alcohol in a person's blood.

(c) The permit holder's security department shall immediately inform the judges of any reading of one-hundreths [sic., one-hundredth] of one percent (0.01%) or and [sic.] above. (Indiana Horse Racing Commission; 71 IAC 8-11-3; emergency rule filed Feb 10, 1994, 9:20 a.m.: 17 IR 1177; emergency rule filed Mar 25, 1996, 10:15 a.m.: 19 IR 2082; emergency rule filed Feb 24, 2000, 2:32 p.m.:23 IR 1671, eff Feb 24, 2000; errata filed Mar 13, 2000, 7:36 a.m.: 23 IR 1656; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Mar 27, 2002, 10:25 a.m.: 25 IR 2538)

LSA Document #02-96(E)

Filed with Secretary of State: March 27, 2002, 10:25 a.m.

TITLE 71 INDIANA HORSE RACING COMMISSION

LSA Document #02-97(E)

DIGEST

Amends 71 IAC 14.5-1-3 concerning an Indiana owned quarter horse purchased at public sale or auctions. Amends 71 IAC 14.5-2-2 concerning foal registration. Amends 71 IAC 14.5-3-2 concerning breeder awards. Amends 71 IAC 14.5-3-3 concerning stallion owner awards. Effective March 27, 2002.

71 IAC 14.5-1-3 71 IAC 14.5-3-2 71 IAC 14.5-3-3

SECTION 1. 71 IAC 14.5-1-3 IS AMENDED TO READ AS FOLLOWS:

71 IAC 14.5-1-3 Indiana owned quarter horse

Authority: IC 4-31-3-9 Affected: IC 4-31

Sec. 3. Indiana owned quarter horse means a horse owned by a resident of Indiana as of June 1 and whose American Quarter Horse Association registration certificate indicates a purchase date prior to June 1, of the year it is to race as Indiana owned. Proof of purchase date may be required to the satisfaction of the commission. Horse must be registered with the commission prior to being entered into an Indiana owned conditioned race. In order for a horse to participate as "Indiana owned", all owners of said horse must be Indiana residents. When transfer of ownership of an Indiana owned registered quarter horse is made from one (1) Indiana resident (must have owned horse prior to June 1 of transaction year) to another Indiana resident, or if horse is claimed by an Indiana resident at any licensed pari-mutuel race track. Registration with the commission must be within fifteen (15) days of transaction, it is then eligible to be entered into an Indiana owned conditioned race. Horses purchased at public auction or sales must submit registration applications and a copy of the front and back side of the AQHA certificate documenting purchase transfer by the

auction or sale company within thirty (30) days of purchase. A leased horse is eligible to be entered in an Indiana owned conditioned race only when both owner and lessee are Indiana residents. The owned program will phase out as follows:

- $\left(1\right)$ Two $\left(2\right)$ year olds, at the conclusion of the 2003 racing season.
- (2) Three (3) year olds, at the conclusion of the 2004 racing season.
- (3) Four (4) year olds and older at the conclusion of the 2005 racing season.

(Indiana Horse Racing Commission; 71 IAC 14.5-1-3; emergency rule filed Nov 15, 2000, 11:40 a.m.: 24 IR 1036; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Mar 27, 2002, 10:27 a.m.: 25 IR 2538)

SECTION 2. 71 IAC 14.5-2-2 IS AMENDED TO READ AS FOLLOWS:

71 IAC 14.5-2-2 Foal registration

Authority: IC 4-31-3-9 Affected: IC 4-31

- Sec. 2. In order to be eligible to register a foal of 2002 and future as an Indiana bred with the commission, a completed application must be on file with the commission within twelve (12) months of the horse's foaling date. If the foal is not registered by the required date, a late fee will be assessed in accordance with the following schedule:
 - (1) Twelve (12) months plus one (1) day to December 31 of yearling year, two hundred dollars (\$200).
 - (2) Two (2) year old year, four hundred dollars (\$400).
 - (3) Three (3) year old year, one thousand dollars (\$1,000) and beyond.

(Indiana Horse Racing Commission; 71 IAC 14.5-2-2; emergency rule filed Nov 15, 2000, 11:40 a.m.: 24 IR 1037; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Mar 27, 2002, 10:27 a.m.: 25 IR 2539)

SECTION 3.71 IAC 14.5-3-2 IS AMENDED TO READ AS FOLLOWS:

71 IAC 14.5-3-2 Breeder awards

Authority: IC 4-31-3-9 Affected: IC 4-31

Sec. 2. A breeder award is the award paid to the breeder of a registered Indiana bred owned, or foaled quarter horse which places first, second, or third in any race except claiming races when entered for a claiming price of less than five thousand dollars (\$5,000) or any speed index race, at a licensed parimutuel race track located in Indiana. The amount of the award is twelve and one-half percent (12.5%) of the gross purse, distribution is:

- (1) fifty percent (50%) is awarded to the winner;
- (2) thirty percent (30%) is awarded to second place; and
- (3) twenty percent (20%) is awarded to third place.

Awards will be paid by the commission. The combination of owner/breeder/stallion awards shall be limited to a single race award not to exceed ten thousand dollars (\$10,000). (Indiana Horse Racing Commission; 71 IAC 14.5-3-2; emergency rule filed Nov 15, 2000, 11:40 a.m.: 24 IR 1037; errata filed Feb 9, 2001, 3:38 p.m.: 24 IR 2091; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Mar 27, 2002, 10:27 a.m.: 25 IR 2539)

SECTION 4.71 IAC 14.5-3-3 IS AMENDED TO READ AS FOLLOWS:

71 IAC 14.5-3-3 Stallion owner awards

Authority: IC 4-31-3-9 Affected: IC 4-31

Sec. 3. A stallion owner award is the award is paid to the owner or lessee of a registered Indiana stallion whose registered progeny have won any race at a licensed pari-mutuel race track located in Indiana. The amount of the award is five percent (5%) of the gross purse for all stake, allowance, and claiming races except when entered for a claiming price of less than five thousand (\$5,000) or any speed index race. Awards will be paid by the commission. The combination of owner/breeder/stallion awards shall be limited to a single race award not to exceed ten thousand (\$10,000). The award will be paid to the owner or lessee of the registered stallion at time of conception. No stallion standing outside Indiana and shipping semen into the state will be eligible to participate in any stallion breed development awards. (Indiana Horse Racing Commission; 71 IAC 14.5-3-3; emergency rule filed Nov 15, 2000, 11:40 a.m.: 24 IR 1037; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Mar 27, 2002, 10:27 a.m.: 25 IR 2539)

LSA Document #02-97(E)

Filed with Secretary of State: March 27, 2002, 10:27 a.m.

TITLE 312 NATURAL RESOURCES COMMISSION

LSA Document #02-93(E)

DIGEST

Temporarily modifies size and bag limits for sport fishing on Lake Waveland in Montgomery County and Parke County. Effective March 15, 2002.

SECTION 1. Effective March 15, 2002, this document modifies the size and bag limits under 312 IAC 9-7 for fish that are taken from Lake Waveland in Montgomery County and Parke County:

- (1) Size limits are eliminated.
- (2) Bag limits are set at two (2) times the bag limits established by 312 IAC 9-7.

SECTION 2. **SECTION 1** of this document expires October 1, 2002.

LSA Document #02-93(E)

Filed with Secretary of State: March 13, 2002, 9:45 a.m.

TITLE 312 NATURAL RESOURCES COMMISSION

LSA Document #02-95(E)

DIGEST

Temporarily modifies 312 IAC 5-7-14 that governs the operation of watercraft on the Tippecanoe River in White County, including Lake Shafer. The zone restricting the operation of watercraft is established in an area marked with uniform Indiana markers and buoys. A 10 mile per hour speed limit is set on the portion of Lake Shafer where dredging operations are scheduled to take place in the summer of 2002. The affected area extends north of the south edge of Chambers Court (Sandbar Restaurant) 9,000 feet to just north of Ponderosa Camp. Effective June 1, 2002.

SECTION 1. A person must not operate a watercraft in excess of ten (10) miles an hour on Lake Shafer in Liberty Township, White County, at any of the following locations within township 28 north, range 3 west:

- (1) The northeast quarter of section 28.
- (2) Section 21.
- (3) The southwest quarter of section 16.

SECTION 2. The restrictions set forth in SECTION 1 of this document are in addition to those set forth at 312 IAC 5-7-14.

SECTION 3. **SECTION 1** of this document expires on December 1, 2002.

LSA Document #02-95(E)

Filed with Secretary of State: March 26, 2002, 10:37 a.m.

TITLE 750 DEPARTMENT OF FINANCIAL INSTITUTIONS

LSA Document #02-94(E)

DIGEST

Amends 750 IAC 1-1-1 to change the dollar amounts in the uniform consumer credit code. Effective July 1, 2002.

750 IAC 1-1-1

SECTION 1. 750 IAC 1-1-1 IS AMENDED TO READ AS FOLLOWS:

750 IAC 1-1-1 Dollar amounts in consumer credit code

Authority: IC 24-4.5-1-106; IC 24-4.5-6-107

Affected: IC 24-4.5

Sec. 1. The dollar amounts in the Indiana uniform consumer credit code which are required to be changed by IC 24-4.5-1-106, as amended, shall, on July 1, 1998, 2002, be as set forth in each of the following Indiana uniform consumer credit code sections:

IC 24-4.5	Dollar	
As Amended	Amounts	Provision Relating To
2-201(7)	930/3,100	Graduated rate scale (sales)
	960/3,200	
2-201(8)	33 36	Minimum credit service charge
2-203.5(5)	15.50 16	Delinquency charge (sales)
2-407(4)	930/3,100	Security interest (sales or leases)
	960/3,200	
3-201(7)	33 36	Minimum loan finance charge
3-203.5(5)	15.50 16	Delinquency charge (loans)
3-508(6)	930/3,100	Graduated rate scale (supervised loans)
	960/3,200	
3-508(6)	33 36	Minimum loan finance charge
3-510(2)	3,100 3,200	Land as security (loans)
3-511(2)	930/3,100	Maximum loan term
	960/3,200	
4-301(4)	930 960	Property insurance
5-103(7)	3,100 3,200	Deficiency judgment

(Department of Financial Institutions; Uniform Consumer Credit Reg No. 1, Sec I; filed Jul 6, 1978, 9:30 a.m.: 1 IR 393, eff Jul 1, 1978; filed Oct 15, 1980, 2:30 p.m.: 3 IR 2189, eff Jul 1, 1980; filed Apr 20, 1982: 5 IR 1194, eff Jul 1, 1982; filed Apr 11, 1984, 2:45 p.m.: 7 IR 1257, eff Jul 1, 1984; emergency rule filed Apr 25, 1986, 3:40 p.m.: 9 IR 2210, eff Jul 1, 1986; emergency rule filed Sep 5, 1986, 10:05 a.m.: 10 IR 81, eff Sep 5, 1986; filed Jan 6, 1987, 10:10 a.m.: 10 IR 1083; emergency rule filed Mar 28, 1988, 1:37 p.m.: 11 IR 2905, eff Jul 1, 1988; emergency rule filed May 14, 1992, 2:00 p.m.: 15 IR 2267, eff Jul 1, 1992; emergency rule filed Mar 21, 1994, 10:30 a.m.: 17 IR 1917, eff Jul 1, 1994; emergency rule filed Mar 18, 1996, 10:05 a.m.: 19 IR 2092, eff Jul 1, 1996; emergency rule filed Mar 17, 1998, 11:20 a.m.: 21 IR 3026, eff Jul 1, 1998; emergency rule filed Mar 14, 2002, 1:38 p.m.: 25 IR 2540)

SECTION 2. Under IC 24-4.5-6-107, the department of financial institutions declares an emergency to exist and issues this document accordingly for the following reasons:

- (1) The dollar amounts of the uniform consumer credit code shall change as of July 1 of each even-numbered year as provided by IC 24-4.5-1-106(2).
- (2) The information and date necessary to calculate the changes in the dollar amounts are not obtainable from the department of labor in time to promulgate such rule according to the procedures set forth in IC 4-22-2 and have such rule in effect by July 1. The department of financial institutions is exempt from such procedures by IC 4-22-2-37.1.
- (3) Therefore, the department deems the utilization of this emergency provision provided them by IC 4-22-2-37.1.

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